08-13555-mg Doc 41886 Filed 01/03/14 Entered 01/03/14 17:58:37 Main Document Pg 1 of 9

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK		
	·X	
In re	:	Chapter 11 Case No.
	:	•
LEHMAN BROTHERS HOLDINGS INC., et al.,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	•
	:	
	Х	Ref. Docket Nos. 41302, 41334,
		41414, 41437, 41487, 41496, 41497,
		41499, 41501, 41502, 41504, 41510-
		41512, 41532, 41535, 41536, 41599-
		41601, 41617, 41619, 41652, 41655,
		41657, 41658, 41720-41723, 41729,
		41735-41739, 41741-41751, 41753-
		41762

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)	
)	SS.
COUNTY OF NEW YORK)	

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

- 1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
- 2. On December 30, 2013, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
- 3. All envelopes utilized in the service of the foregoing contained the following legend: "LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

Sworn to before me this 3 rd day of January, 2014	/s/ Lauren Rodriguez Lauren Rodriguez
/s/ Sidney J. Garabato	
Sidney J. Garabato	
Notary Public, State of New York	
No. 01GA6218946	
Qualified in New York County	
Commission Expires March 15, 2014	

EXHIBIT A

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UNITED STATES BANKRUPTCY COURT Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF BANKRUPTCY PROCEDURE 3001(e)(2) or (4)

Note: For purposes of this form, transferor refers to the claimant who is selling or otherwise assigning its claim, while transferee refers to the party who is purchasing or otherwise being assigned the claim.

TO: ADAGIO FUND
C/O SYMPHONY ASSET MANAGEMENT LLC
555 CALIFORNIA STREET
SUITE 2975
SAN FRANCISCO CA 94104-1503

ADAGIO FUND ARTHUR M. HANDLER & ROBERT S. GOODMAN MOUND COTTON WOLLAN & GREENGRASS ONE BATTERY PARK PLAZA NEW YORK NY 1004

Please note that your claim # 34458 in the above referenced case and in the amount of \$58,093,845.59 Unliquidated has been transferred (unless previously expunged by court order)

BARCLAYS BANK PLC TRANSFEROR: ADAGIO FUND ATTN: HOWARD H LEE 1301 AVENUE OF THE AMERICAS 8TH FLOOR NEW YORK NY 10019 BARCLAYS BANK PLC A CALLAHAN SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT Southern District of New York One Bowling Green New York,NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 41736 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEREE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 12/30/2013

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 30, 2013.

EXHIBIT B

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TRANSFEROR: AGGREGATING TRUST 1, LLC ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NJ 07078 IRANSFEROR: AGGREGATING TRUST 10, LLC ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NJ 07078

C/O APPALOOSA MANAGEMENT L.P. ATTN: KEN MAIMAN 51 JOHN F. KENNEDY PARKWAY SHORT HILLS NJ 07078

450 LEXINGTON AVE NEW YORK NY 10017 450 LEXINGTON AVENUE NEW YORK NY 10017

DAVID HOYT ANDREWS KURTH LLP 450

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LEHMAN BROTHERS HOLDING CREDITOR LISTING

DATE: 12/30/13

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Main Document

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TRANSFEROR: AGGREGATING TRUST 3, LLC ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NU 07078

TRANSFEROR: AGGREGATING TRUST 4, L.L.C. ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NU 07078

TRANSFEROR: AGGREGATING TRUST 5, L.L.C. ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NU 07078

TRANSFEROR: AGGREGATING TRUST 6, LLC ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NU 07078

TRANSFEROR: AGGREGATING TRUST 7, LLC ATTN: KEN MAIMAN 51 JFK PARKWAY, STE 250B SHORT HILLS NU 07078

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ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017

ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017

TRANSFEROR: BARCLAYS BANK PLC C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKWAY, SUITE 250B SHORT HILLS NU 07078

TRANSFEROR: BARCLAYS BANK AG, LONDON BRANCH C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKWAY, SUITE 250B SHORT HILLS NU 07078

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TRANSFEROR: GOLDWAN, SACHS & CO. C/O APPALOOSA MANAGEMENT; ATTN: KEN MAINAN 51 JFK PARKWAY, SUITE 250B SHORT HILLS NJ 07078
TRANSFEROR: MERRILL LYNCH, PIERCE, FENNER & SMITH ATTN: KEN MAINAN 51 JFK PARKWAY, STE 250B SHORT HILLS NJ 07078
TRANSFEROR: MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. C/O APPALOOSA MANAGEMENT ATTN: KEN MAINAN 51 JFK PARKWAY, SUITE 250B

NJ 07078 SHORT HILLS

TRANSFEROR: MORGAN STANLEY & CO. INTERNATIONAL PLC C/O APPALOOSA MANAGEMENT LP ATTN: KEN MAIMAN 51 JFK PARKWAY, SUITE 250B SHORT HILLS NJ 07078 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKWAY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR:

RBS COUTTS BANK AG ALTN: ULRICH ISCHI AMTHAUSPLATZ 4 4502 SOLOTHURN SWITZERLAND
BANCA POPOLARE DI MILANO SOCIETA COOPERATIVE A.R.L. ATTN: GRAZIELLA BOLOGNA HEAD OF FINANCIAL DEPT VIA ROVAGNATI, 1 ITALY 20832 DESIO (MB) TRANSFEROR: TRANSFEROR:

BANCA DI DESIO E DELLA BRIANZA S.P.A.

BALOISE BANK SOBA AG

FIDEURAM S.P.A.

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BANCA MEDIOLANUM SPA COOPERATIVE A.R.L.

APPALOOSA INVESTMENT L.P.1

APPALOOSA INVESTMENT L.P.

CCP CREDIT ACQUISITION HOLDINGS,

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POPOLARE DI MILANO SOCIETA CREDIT ACQUISITION HOLDINGS,

TRANSFEROR: BANCA FIDEURAM S.P.A. ATTN: HEAD OF OPERATION CONTROL UNIT VIA F SFORZA, 15 BASIGLIO (MI) 20080 ITALY ATTN: MARIA TERESA GUERRA, LEGAL DEPT PIAZZA F. MEDA, 4 MILAN 20121 ITALY ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017 PIAZZALE GIULLO DOUHET, 31 ROMA 00163 ITALY LIC

ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017 NEW YORK NY 10152 II, CENTERBRIDGE SPECIAL CREDIT PARTNERS

TAANSFEROR: JPWORGAN CHASE BANK, N.A. C/O CENTERBRIDGE PARINERS, L.P. ATTN: LAUREN GRAINER 375 PARK AVENUE, 13TH FLOOR

ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE NEW YORK NY 10017 TRANSFEROR: JPWORGAN CHASE BANK, N.A. C/O CENTERBRIDGE PARTNERS, L.P. ATTN: LAUREN GRAINER 375 PARK AVENUE, 13TH FLOOR TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O CENTERBRIDGE PARTNERS, L.P. ATTN: LAUREN GRAINER 375 PARK AVE., 13TH FLOOR NEW YORK NY 10152 10152 NEW YORK NY ĽЪ CENTERBRIDGE SPECIAL CREDIT PARTNERS II, CENTERBRIDGE SPECIAL CREDIT PARTNERS, CENTERBRIDGE SPECIAL CREDIT PARTNERS,

CRAVATH, SWAINE & MOORE LLP ATTN: RICHARD LEVIN WORLDWIDE PLAZA 825 EIGHTH AVENUE NEW YORK NY 10019 RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019 ATTN: ALLEN GAGE 1 MADISON AVE NEW YORK NY 10010

TRANSFEROR: CREDIT SUISSE ATTN: STEPHANIE TUMBIOLO CRAVATH SWAINE & MOORE ILP 825 8TH AVENUE NEW YORK NY 10019 TRANSFEROR: J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV 399 PARK AVENE, 39TH FLOOR NEW YORK NY 10022 TRANSFEROR: J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV 399 PARK AVENU, 39TH FLOOR NEW YORK NY 10022 TRANSFEROR: J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022 TRANSFEROR: J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022 LT.

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LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

TIME: 16:20:16 DATE: 12/30/13

EPIQ BANKRUPTCY SOLUTIONS, LLC

TIME: 12:17:48 DATE: 12/30/13

LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

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Name ADAGIO FUND ADAGIO FUND ALA INTERNATIONAL LIMITED	Address C/O SYMPHONY ASSET MANAGEMENT LLC 555 CALIFORNIA STREET SUITE 2975 SAN FRANCISCO CA 94104-1503 ARTHUR M. HANDLER & ROBERT S. GOODMAN MOUND COTTON WOLLAN & GREENGRASS ONE BATTERY PARK PLAZA NEW YORK NY 1004 TRANSFEROR ALA WEALTH MANAGEMENT COMPANY LIMITED HONG KONG BRANCH 11F, AIA FINANCIAL CENTRE 712 PRINCE EDWARD ROAD EAST
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BANK	DANIEL MIRANDA & ANTHONY VITIELLO 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
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CRESCENT I, LP CRT SPECIAL INVESTMENTS LLC	TRANSFEROR: J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV 399 PARK AVENUE, 39TH FLOOR NEW YORK NY 10022 TRANSFEROR: UNITED STATES DERT RECOVERY V. 1.D. JOSEPH R. SARACHEW 262 HADBOD DIVE CHAMEDON OF ACOAS
CYRUS OPPORTUNITIES MASTER FUND II, LTD.	J.P. MORGAN SECURITIES PLC ATTN: SVET NIKOV
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: BNP PARIBAS SECURITIES CORP. C/O DEUTSCHE BANK SECURITIES INC; ATTN: MATT WEINSTEIN 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: HCN LP C/O DEUTSCHE BANK SECURITIES INC. ATTN: MATTHEW WEINSTEIN 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
ENCORE FUND, LP	C/O SYMPHONY ASSET MANAGEMENT LLC 555 CALIFORNIA STREET SUITE 2975 SAN FRANCISCO CA 94104-1503
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FORTISSIMO FUND	LLC 555 CALIFORNIA STREET SUITE 2975 SAN
GOLDMAN SACHS & CO.	AKIHUK M. HANDLEK & KUBEKI S. GUUDMAN MUUND CUTION WULLAN & GREENGRASS ONE BATTERY PARK PLAZA NEW YORK NY 10004 TRANSFEROR: THOROUGHBRED FUND, L.P. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CTTY NI 07302
GOLDMAN SACHS & CO.	TRANSFEROR: THOROUGHBRED MASTER LID. ATTN: MICHELLE LATZONI 30 HUDSON STREET, 5TH FLOOR JERSEY CITY NJ 07302
OFFSHORE, LTD.	C/O GOLDWAN SACHS ASSET MANAGEMENT, L.P. ATTN: WENDY YUN 200 WEST STREET NEW YORK NY 10282
GOLDMAN SACHS GLOBAL OPPORTUNITIES FUND	DAVID AMAN, ESQ CLEARY GOTTLIEB STEEN & HAMILTON LLP ONE LIBERTY PLAZA NEW YORK NY 10006
OFFSHORE, LTD. GOLDMAN SACHS GLOBAL OPPORTUNITIES FUND,	C/O GOLDMAN SACHS ASSET MANAGEMENT, L.P. ATTN: WENDY YUN 200 WEST STREET NEW YORK NY 10282
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OFFSHOKE, L.F. GOLDMAN SACHS LIQUIDITY PARTNERS 2007	DAVID AMAN, ESQ CLEARY GOTTLIEB STEEN & HAMILION LLP ONE LIBERTY PLAZA NEW YORK NY 10006
OFFSHORE, L.P.	
L.P.	C/O GOLDWILL SACRS ASSEI MANAGEMENI, L.P. ATIN: WENDY YON ZOO WEST STREET NEW YORK NY 10282
GOLDMAN SACHS LIQUIDITY PARTNERS 2007,	DAVID AMAN, ESQ CLEARY GOTTLIEB STEEN & HAMILTON LLP ONE LIBERTY PLAZA NEW YORK NY 10006

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LEHMAN BROTHERS HOLDING INC. CREDITOR LISTING

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Address TRANSFEROR: BARCLAYS BANK PLC ATTN: CHRIS KIRKPATRICK 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: BARCLAYS BANK PLC C/O HAYMAN CAPITAL MANAGEMENT, LP; ATTN: CHRIS KIRKPATRICK 2101 CEDAR SPRINGS RD, STE 1400 DALLAS TX 75201 TRANSFEROR: BACLAYS BANK PLC HAYMAN CAPITAL MANAGEMENT, L.P. ATTN: CHRIS KIRKPATRICK 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: BEDUTSCHE BANK AG LONDON BRANCH ATTN: CHRISTOPHER K KIRKPATRICK 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: DEBBY LAMOY 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: DEBBY LAMOY 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: DEBBY LAMOY 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: DEBBY LAMOY 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: GOLDMAN, SACHS & CO. ATTN: DEBBY LAMOY 2101 CEDAR SPRINGS ROAD, SUITE 1400 DALLAS TX 75201 TRANSFEROR: BOTSCHE BANK AG, LONDON BRANCH C/O HALCYON ASSET PANAGEMENT - M SELTZER 477 MADISON AVENUE, STRONG S	TRANSFEROR: WEGELIN & CO, PRIVATBANKIERS BOHL 17 ST. GALLEN CH-9004 SWITZERLAND TRANSFEROR: DECTACHE BANK AA, LANDON BRANCH ATTH: ROBERT BOWERS 50 MADISON AVENUE. 24TH FLOOR NEW YORK NY 10017 ANDERSW KURHI LLA PATTH: DAVID HOUST 450 LEXINOTON AND REW YORK NY 10017 ANDERSW KURHI LLA PATTH: DAVID HOTT 450 LEXINOTON AND NEW YORK NY 10017 ANDERSW KURHI LLA PATTH: DAVID HOTT 450 LEXINOTON AND NEW YORK NY 10017 C/O APPALOGAS, MANAGRERY I.P. ATTH: KEN MALMAN SI JUF PARKMAY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: DELEVENE BANK PLC C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: GOLDANA, SACHS & CO. C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: GOLDANA, SACHS & CO. C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: GOLDANA, SACHS & CO. C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: GOLDANA, SACHS & CO. C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: GOLDANA, SACHS & CO. C/O APPALOGAS MANAGRERIT ATTH: KEN MALMAN SI JUF PARKMAY; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. C/O APPALOGSA MANAGRERY ATTH: KEN MALMAN; SUITE AND MALMAN; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. C/O APPALOGSA MANAGRERY ATTH: KEN MALMAN; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. C/O APPALOGSA MANAGRERY ATTH: KEN MALMAN; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: MERRILL LYNCH, PIERCE; PENNER & SMITH, INC. C/O APPALOGSA MANAGRERENT ATTH: KEN MALMAN; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: MERRILL LYNCH, PIERCE; PENNER & SMITH, INC. C/O APPALOGSA MANAGRERY, SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: DEUTSCHE BANK AO, LONDON BRANCH C/O APPALOGSA MANAGRERY ATTH: KEN MALMAN; SUITE 250B SHORT HILLS NJ 07078 TRANSFEROR: DEUTSCHE BANK AO, LONDON	MERRILL LYNCH, PIERCE, FENNER & SMITH, INC. C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKN NJ 07078 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKN NJ 07078 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC C/O APPALOOSA MANAGEMENT ATTN: KEN MAIMAN 51 JFK PARKWAY.
Name HAYMAN CAPITAL MASTER FUND, L.P. HON LP ILLIQUIDX LLP ILLIQUIDX LLP ILLIQUIDX LLP ILLIQUIDX LLP ILLIQUIDX LLP ILLIQUIDX LLP INTESA SANPAGLO S.P.A. J.P. MORGAN SECURITIES PLC	NOTENSTEIN PRIVATBANK AG PANNING MASTER FUND, LP RES COUTTS BANK AG THOROUGHBRED FUND, L.P. THOROUGHBRED MASTER LTD.	MASTER

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